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BUSINESS CONTINUITY PLAN DISCLOSURE STATEMENT

KAISER PARTNER FINANCIAL ADVISORS Ltd. (KPFA) has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us: If after a significant business disruption, you cannot contact us under our number +41 44 752 51 52*/financial.advisors@kaiserpartner.com*, you should call our alternative numbers +41 79 817 98 68 or +41 79 800 06 57.

Our Business Continuity Plan: We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible.

Our business continuity plan addresses: data backup and recovery; continuity of mission-critical systems; establishing alternative communications with customers, employees, and regulators; defining alternate physical location for our employees; assessing critical supplier, contractor, bank and counter-party impact; and assuring our customers prompt access to their funds and securities if we are unable to continue our business. Our backup server is located in the jurisdiction of Liechtenstein.

Our custodian's clearing firm, UBS, backs up our important records in a geographically separate area. While every emergency situation poses unique challenges which depend on external factors such as time of day and the severity of the disruption, we have been advised by our custodian's clearing firm that its objective is to restore its own operations and be able to complete existing transactions and accept new transactions and payments within one workday. In the event of a disruption, your orders and requests for funds and securities could therefore be delayed for that period.

Varying Disruptions: Significant business disruptions can vary in scope, as they may affect our firm, the building in which our firm is located, the business district, the city, or the entire region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In case of a disruption to only our firm or a building housing our firm, we will transfer our operations to a pre-established local site and expect to recover and resume business within eight (8) hours. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area and recover and resume business as soon as possible. In either situation, we plan to continue in business, transfer operations to our clearing firms if necessary, and notify you through our web site *https://kaiserpartner.financial* or our customer emergency numbers, +41 79 817 98 68 or +41 79 800 06 57. If the significant business disruption is so severe that it prevents us from remaining in business, we will assure our customer's prompt access to their funds and securities.

For more information – If you have questions about our business continuity planning, you can contact us at +41 44 752 51 52*/ financial.advisors@kaiserpartner.com*.